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on November 11, 1998  
By Linda M. Fall

PATENT

Attorney Docket No. 2307E-082600  
UC Case No. 98-013-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James D. Marks *et al.*

Serial No.: 09/144,886

Filed: August 31, 1998

For: Therapeutic Monoclonal Antibodies  
that Neutralize Botulinum Neurotoxins

**POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kevin L. Bastian, Reg. No. 34,774; Gregory P. Einhorn, Reg. No. 38,440; Susan K. Faris, Reg. No. P-41,739; M. Henry Heines, Reg. No. 28,219; Tom Hunter, Reg. No. 38,498; Laurence J. Hyman, Reg. No. 35,551; Jeffry S. Mann, Reg. No. P-42,837; Annette S. Parent, Reg. No. P-42,058; Timothy L. Smith, Reg. No. 35,367; William M. Smith, Reg. No. 30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; P. Ben Wang, Reg. No. 41,420; Ellen L. Weber, Reg. No. 32,762; Kenneth A. Weber, Reg. No. 31,677; all of the firm of Townsend and Townsend and Crew LLP, as its attorneys to

prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR §3.71.

An assignment of the entire interest in the above-identified subject application:

☒ was recorded on \_\_\_\_\_ at reel/frame  
\_\_\_\_\_/\_\_\_\_\_, or for which a copy is attached.

☐ is submitted separately for recording, copy attached.

Please direct all telephone calls to Tom Hunter and all correspondence relative to said application to the following address:

Townsend and Townsend and Crew LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834

ASSIGNEE: THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA

Signature:

*Linda S. Stevenson*

Typed Name:

Linda S. Stevenson

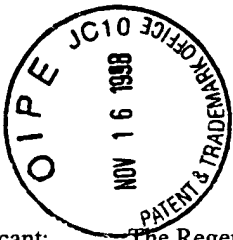
Title:

Principal Prosecution Analyst

Office of Technology Transfer  
1111 Franklin Street, 12th Floor  
Oakland, CA 94607-5200

Dated: October 30, 1998

SF 166893 v1



**CERTIFICATE UNDER 37 C.F.R. §3.73(b)**

Applicant: The Regents of the University of California

Application No.: 09/144,886

Filed: August 31, 1998

For: Therapeutic Monoclonal Antibodies that Neutralize Botulinum Neurotoxins

The Regents of the University of California

, a university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : October 30, 1998

Name : Linda S. Stevenson

Title : Principal Prosecution Analyst

Signature: Linda S. Stevenson